



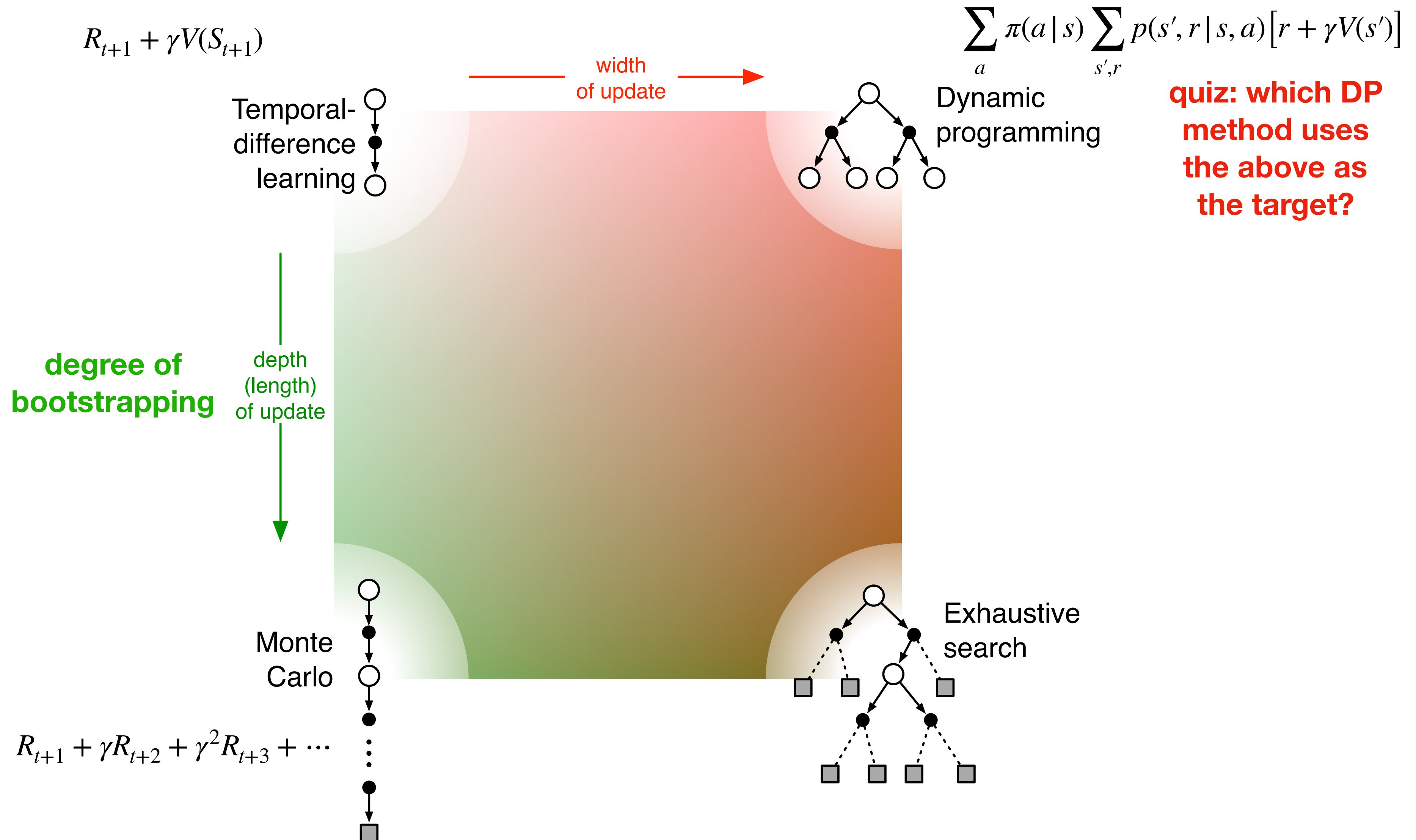
Planning, Learning and Acting

Rupam Mahmood

March 9, 2020



First: Summary of Part 1



Learning and planning

Model of the environment: used by agent to predict environment's response to actions

For example, if the agent has the transition probabilities $p(s', r|s, a)$ as in dynamic programming

Planning: a process that takes model as an input and produces/improves a policy

Dynamic programming methods are planning methods

A planning method uses the model to simulate the environment to produce simulated experience which is used to produce/improve a policy



Model learning: the process of using real experience to improve the model

For example, if we are estimating the transition probabilities based on real experience

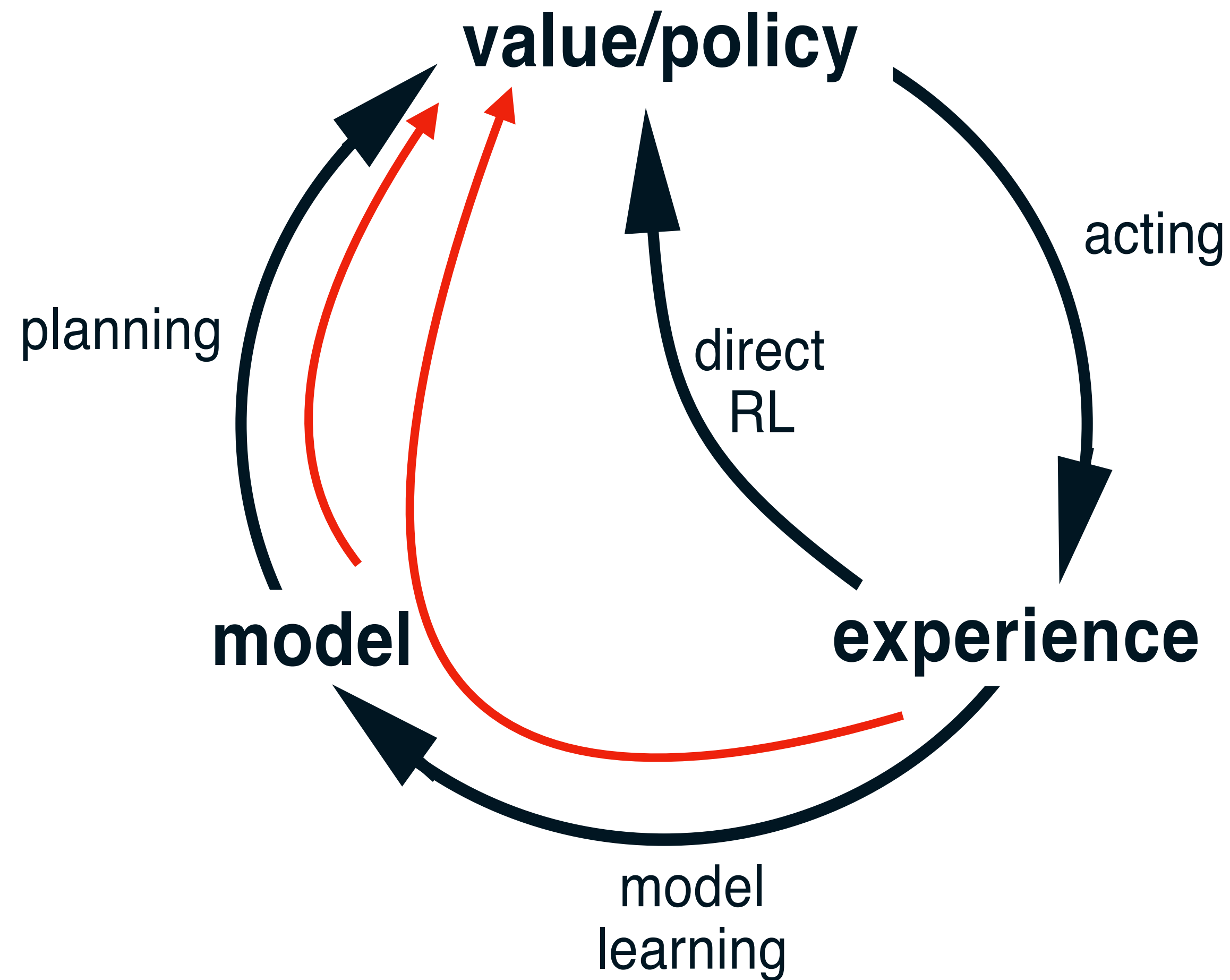
Direct reinforcement learning: the process of using real experience to directly improve the value function and policy

For example, TD or MC methods for prediction and control

Indirect reinforcement learning: the process of using real experience to improve the value function and policy using the model through planning

An architecture for planning, learning and acting at the same time

quiz: indicate
indirect RL with
an arrow
(which of the
two red ones?)



Tabular Dyna-Q

Initialize $Q(s, a)$ and $Model(s, a)$ for all $s \in \mathcal{S}$ and $a \in \mathcal{A}(s)$

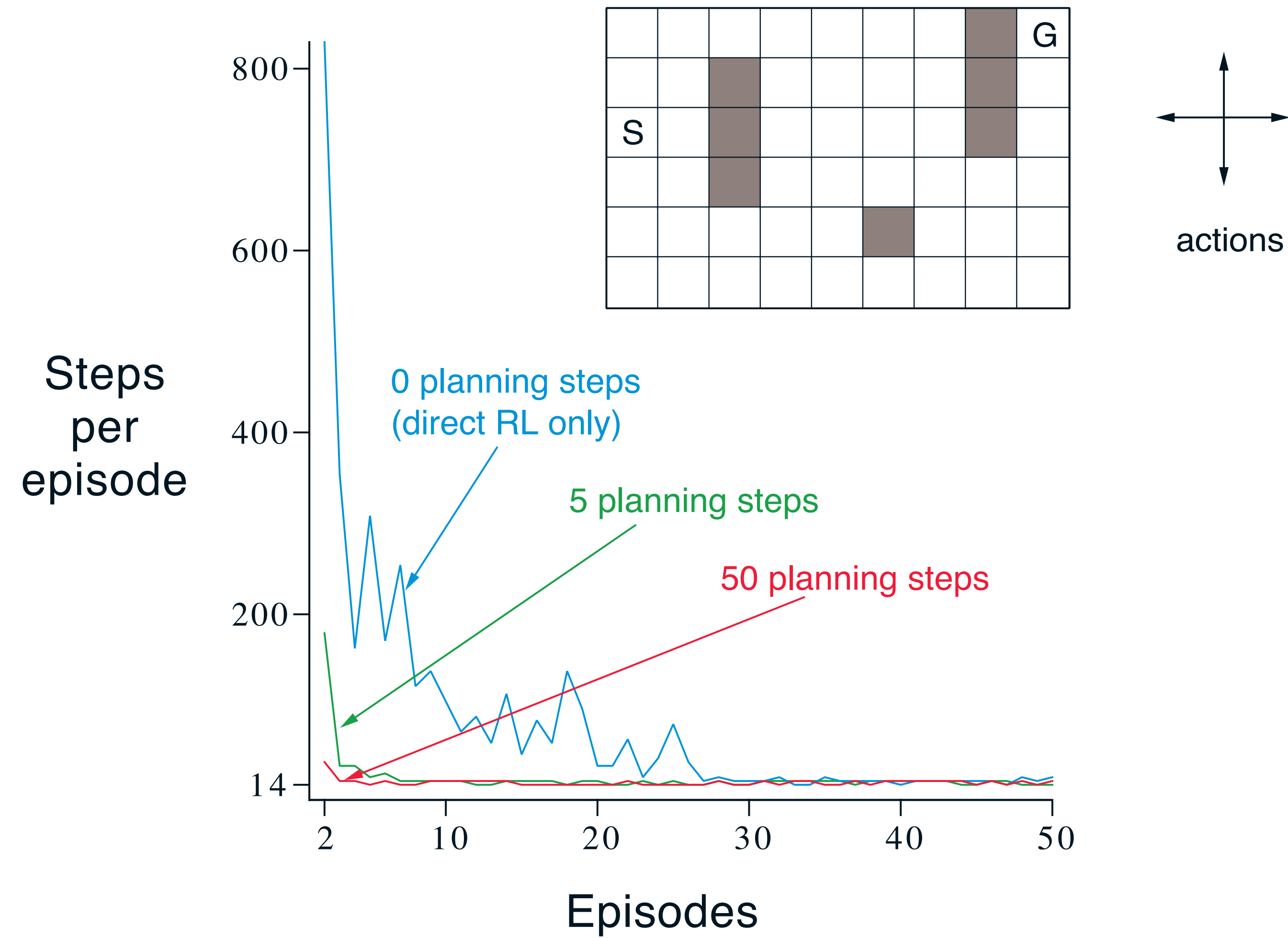
Loop forever:

- (a) $S \leftarrow$ current (nonterminal) state
- (b) $A \leftarrow \varepsilon$ -greedy(S, Q)
- (c) Take action A ; observe resultant reward, R , and state, S'
- (d) $Q(S, A) \leftarrow Q(S, A) + \alpha [R + \gamma \max_a Q(S', a) - Q(S, A)]$
- (e) $Model(S, A) \leftarrow R, S'$ (assuming deterministic environment)
- (f) Loop repeat n times:
 - $S \leftarrow$ random previously observed state
 - $A \leftarrow$ random action previously taken in S
 - $R, S' \leftarrow Model(S, A)$
 - $Q(S, A) \leftarrow Q(S, A) + \alpha [R + \gamma \max_a Q(S', a) - Q(S, A)]$

Which part is direct RL? What is this method called?

Which part is model learning?

Which part is planning?



How many planning steps should we take?

Tabular Dyna-Q

Initialize $Q(s, a)$ and $Model(s, a)$ for all $s \in \mathcal{S}$ and $a \in \mathcal{A}(s)$

Loop forever:

- (a) $S \leftarrow$ current (nonterminal) state
- (b) $A \leftarrow \varepsilon$ -greedy(S, Q)
- (c) Take action A ; observe resultant reward, R , and state, S'
- (d) $Q(S, A) \leftarrow Q(S, A) + \alpha [R + \gamma \max_a Q(S', a) - Q(S, A)]$
- (e) $Model(S, A) \leftarrow R, S'$ (assuming deterministic environment)
- (f) Loop repeat n times:
 - $S \leftarrow$ random previously observed state
 - $A \leftarrow$ random action previously taken in S
 - $R, S' \leftarrow Model(S, A)$
 - $Q(S, A) \leftarrow Q(S, A) + \alpha [R + \gamma \max_a Q(S', a) - Q(S, A)]$

Dyna-Q+

1. Adds a bonus $\kappa\sqrt{\tau(s, a)}$ to reward in planning

$\tau(s, a)$ denotes the number of time steps (s, a) has not been tried

2. Actions that have not been tried from a previously visited state are allowed to be considered in planning

Where would you put these steps in Dyna-Q to get Dyna-Q+?

Tabular Dyna-Q

Initialize $Q(s, a)$ and $Model(s, a)$ for all $s \in \mathcal{S}$ and $a \in \mathcal{A}(s)$

Loop forever:

- (a) $S \leftarrow$ current (nonterminal) state
- (b) $A \leftarrow \varepsilon$ -greedy(S, Q)
- (c) Take action A ; observe resultant reward, R , and state, S'
- (d) $Q(S, A) \leftarrow Q(S, A) + \alpha[R + \gamma \max_a Q(S', a) - Q(S, A)]$
- (e) $Model(S, A) \leftarrow R, S'$ (assuming deterministic environment)
- (f) Loop repeat n times:
 - $S \leftarrow$ random previously observed state
 - $A \leftarrow$ random action previously taken in S
 - $R, S' \leftarrow Model(S, A)$
 - $Q(S, A) \leftarrow Q(S, A) + \alpha[R + \gamma \max_a Q(S', a) - Q(S, A)]$

Dyna-Q+: calculating visitation counts

Consider an MDP with one actions (L) and two states with the following episode

S_0	A_0	S_1	A_1	S_2	A_2	S_3	A_3	S_4	A_4
y	L	x	L	x	L	y	L	x	L

Calculate $\tau(s, a)$ for all state-action pairs at each step